

General Assembly

Raised Bill No. 367

February Session, 2022

LCO No. 2867



Referred to Committee on PUBLIC HEALTH

Introduced by: (PH)

AN ACT CONCERNING ELECTRONIC NICOTINE DELIVERY SYSTEMS AND VAPOR PRODUCTS.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- 1 Section 1. Subsection (a) of section 21a-415 of the general statutes is
- 2 repealed and the following is substituted in lieu thereof (*Effective January*
- 3 1, 2023):
- 4 (a) As used in this chapter and section [53-344] 2 of this act:
- 5 (1) "Authorized owner" means the owner or authorized designee of a
- 6 business entity that is applying for a registration or is registered with
- 7 the Department of Consumer Protection pursuant to this chapter;
- 8 (2) "Business entity" means any corporation, limited liability
- 9 company, association, partnership, sole proprietorship, government,
- 10 governmental subdivision or agency, business trust, estate, trust or any
- 11 other legal entity;
- 12 (3) "Dealer registration" means an electronic nicotine delivery system
- 13 certificate of dealer registration issued by the Commissioner of

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14 Consumer Protection pursuant to this section;

- 15 (4) "Manufacturer registration" means an electronic nicotine delivery 16 system certificate of manufacturer registration issued by the 17 Commissioner of Consumer Protection pursuant to section 21a-415a to 18 any person who mixes, compounds, repackages or resizes any nicotine-19 containing electronic nicotine delivery system or vapor product;
 - (5) "Electronic cigarette liquid" means a liquid, including, but not limited to, a concentrated plant extract or oil containing natural or synthetic ingredients, that, when used in an electronic nicotine delivery system or vapor product, produces a vapor that may or may not include nicotine and [is] may be inhaled by the user of such electronic nicotine delivery system or vapor product;
 - (6) "Electronic nicotine delivery system" means an electronic device used in the delivery of nicotine or other substances to a person inhaling from the device, and includes, but is not limited to, an electronic cigarette, electronic cigar, electronic cigarillo, electronic pipe or electronic hookah and any related device and any cartridge or other component of such device, including, but not limited to, electronic cigarette liquid;
 - (7) "Vapor product" means any product that employs a heating element, power source, electronic circuit or other electronic, chemical or mechanical means, regardless of shape or size, to produce a vapor that may include nicotine and is inhaled by the user of such product. "Vapor product" does not include a medicinal or therapeutic product that is (A) used by a licensed health care provider to treat a patient in a health care setting, (B) used by a patient, as prescribed or directed by a licensed health care provider in any setting, or (C) any drug or device, as defined in the federal Food, Drug and Cosmetic Act, 21 USC 321, as amended from time to time, any combination product, as described in said act, 21 USC 353(g), as amended from time to time, or any biological product, as described in 42 USC 262, as amended from time to time, and 21 CFR 600.3, as amended from time to time, authorized for sale by the United

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46 States Food and Drug Administration;

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- (8) "Sale" or "sell" means an act done intentionally by any person, whether done as principal, proprietor, agent, servant or employee, of transferring, or offering or attempting to transfer, for consideration, including bartering or exchanging, or offering to barter or exchange; [and]
 - (9) "Deliver" or "delivering" means an act done intentionally by any person, whether as principal, proprietor, agent, servant or employee, of transferring, or offering or attempting to transfer, physical possession or control of an electronic nicotine delivery system or vapor product; and
- 57 (10) "Flavored electronic nicotine delivery system or vapor product" 58 means any flavored electronic nicotine delivery system or vapor 59 product that imparts a taste or smell, other than the taste or smell of 60 tobacco, either prior to or during the use of an electronic nicotine 61 delivery system or vapor product, including, but not limited to, any 62 taste or smell relating to fruit, menthol, mint, wintergreen, chocolate, 63 cocoa, vanilla, honey, or any candy, dessert, alcoholic beverage, herb or 64 spice.
- Sec. 2. (NEW) (*Effective January 1, 2023*) (a) No person shall sell, give, deliver or possess with intent to sell in this state any flavored electronic nicotine delivery system or vapor product.
 - (b) (1) No person shall sell, give, deliver or possess with intent to sell, in this state an electronic nicotine delivery system or a vapor product with a nicotine content that is greater than thirty-five milligrams per milliliter. Each person with a manufacturer registration shall provide documentation to a person with a dealer registration, indicating the nicotine content, expressed as milligrams per milliliter, for each electronic nicotine delivery system and vapor product sold by such person with a manufacturer registration to such person with a dealer registration.

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(2) Each business entity holding a dealer registration shall (A) maintain documentation, within the place of business identified in the business entity's application for dealer registration, of the nicotine content provided pursuant to subdivision (1) of this subsection by the person with a manufacturer registration, for each electronic nicotine delivery system and vapor product sold, given or delivered by such person to the business entity, and (B) provide such documentation at the request of the Commissioner of Mental Health and Addiction Services, or the commissioner's designee, during any unannounced compliance check conducted pursuant to section 21a-415b of the general statutes, as amended by this act.

- (c) As used in this section, "person" means any individual, authorized owner of a business entity, retail establishment, as defined in section 19a-106a of the general statutes, partnership, company, limited liability company, public or private corporation, association, trustee, executor, administrator or other fiduciary or custodian.
- 93 Sec. 3. Section 21a-415b of the general statutes is repealed and the following is substituted in lieu thereof (*Effective January 1, 2023*):
 - (a) Each business entity with a dealer registration shall place and maintain in legible condition at each point of sale of electronic nicotine delivery systems or vapor products a notice to consumers that states (1) the sale, giving or delivering of electronic nicotine delivery systems and vapor products to any person under twenty-one years of age is prohibited by section 53-344b, <u>as amended by this act</u>, (2) the use of false identification by a person under twenty-one years of age to purchase an electronic nicotine delivery system or a vapor product is prohibited, and (3) the penalties and fines for violating the provisions of this section and section 53-344b, as amended by this act.
 - (b) (1) The Commissioner of Mental Health and Addiction Services, or the commissioner's designee, shall conduct unannounced compliance checks on business entities [holding] with a dealer registration by engaging persons between the ages of sixteen and twenty to enter the

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109 place of business of each such business entity to attempt to purchase an 110 electronic nicotine delivery system or a vapor product.

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- (2) The Commissioner of Mental Health and Addiction Services, or the commissioner's designee, shall conduct unannounced compliance checks on business entities with a dealer registration to determine whether any such business entity is selling, giving or delivering or has sold, given or delivered any flavored electronic nicotine delivery system or vapor product in violation of subsection (a) of section 2 of this act.
- 117 (3) The Commissioner of Mental Health and Addiction Services, or the commissioner's designee, shall conduct unannounced compliance checks on business entities with a dealer registration to determine 120 whether each such business entity is in possession of the documentation required under subsection (b) of section 2 of this act and whether such 122 documentation indicates that electronic nicotine delivery systems or vapor products with a nicotine content greater than thirty-five 123 124 milligrams per milliliter were sold, given or delivered by such business entity. The commissioner shall refer all business entities that do not possess such documentation or that sold, gave, delivered or possessed with intent to sell an electronic nicotine delivery system or a vapor product with a nicotine content that is greater than thirty-five 129 milligrams per milliliter to the Commissioner of Revenue Services.
 - (4) The [commissioner] Commissioner of Mental Health and Addiction Services shall conduct unannounced follow-up compliance checks of all noncompliant business entities and shall refer all noncompliant business entities to the Commissioner of Revenue Services.
 - (c) Upon receipt of a referral made pursuant to subsection (b) of this section, the Commissioner of Revenue Services may, following a hearing, impose a civil penalty and direct the Commissioner of Consumer Protection to suspend or revoke the dealer registration of the business entity that is the subject of such referral. The Commissioner of Revenue Services shall provide such business entity with written notice

LCO No. 2867 **5** of 13 of the hearing, specifying the time and place of such hearing and requiring such business entity to show cause why such dealer registration should not be suspended or revoked. The written notice of the hearing shall be mailed or delivered to such business entity not less than ten days preceding the date of the hearing. Such notice may be served personally or by registered or certified mail.

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(d) If the Commissioner of Revenue Services finds, after a hearing pursuant to subsection (c) of this section, that any person employed by any business entity issued a dealer registration under section 21a-415, as amended by this act, has sold, given or delivered an electronic nicotine delivery system or vapor product to a person under twenty-one years of age, other than a person under twenty-one years of age who is delivering or accepting delivery in such person's capacity as an employee, said commissioner shall, for the first violation, require such employee to successfully complete an online prevention education program administered by the Department of Mental Health and Addiction Services not later than thirty days after said commissioner's finding. [Said commissioner] The Commissioner of Revenue Services shall assess any employee who fails to complete such program a civil penalty of [two] four hundred dollars. Said commissioner shall assess any employee a civil penalty of [two hundred fifty] five hundred dollars for a second or subsequent violation on or before twenty-four months after the date of the first violation.

(e) (1) If the Commissioner of Revenue Services finds, after a hearing pursuant to subsection (c) of this section, that [(1)] (A) any business entity issued a dealer registration under section 21a-415, as amended by this act, has sold, given or delivered an electronic nicotine delivery system or vapor product to a person under twenty-one years of age, other than a person under twenty-one years of age who is delivering or accepting delivery in such person's capacity as an employee, or [(2)] (B) such person's employee has sold, given or delivered an electronic nicotine delivery system or vapor product to a person under twenty-one years of age, the commissioner shall, for the first violation, require the authorized owner of such business entity to successfully complete an

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online prevention education program administered by the Department of Mental Health and Addiction Services not later than thirty days after said commissioner's finding. [Said commissioner] The Commissioner of Revenue Services shall assess any business entity issued a dealer registration, whose authorized owner fails to complete such program, a civil penalty of [three] six hundred dollars for the first violation. [Said commissioner]

- (2) The Commissioner of Revenue Services shall assess such business entity a civil penalty of [seven hundred fifty] one thousand five hundred dollars for a second violation on or before twenty-four months after the date of the first violation.
- 186 (3) For a third violation by such business entity on or before twenty187 four months after the date of the first violation, [said commissioner] the
 188 Commissioner of Revenue Services shall assess such business entity a
 189 civil penalty of [one] two thousand dollars and notify the Commissioner
 190 of Consumer Protection that the dealer registration held by such
 191 business entity under this chapter shall be suspended for not less than
 192 thirty days.
 - (4) For a fourth violation on or before twenty-four months after the date of the first violation, the Commissioner of Revenue Services shall assess such business entity a civil penalty of [one] two thousand dollars and notify the Commissioner of Consumer Protection that the dealer registration held by such business entity under [said] this chapter shall be revoked. The Commissioner of Revenue Services shall order such business entity to conspicuously post a notice in a public place stating that electronic nicotine delivery systems and vapor products cannot be sold during the period of suspension or revocation and the reasons for such suspension or revocation. Any sale of an electronic nicotine delivery system or vapor product by such business entity during the period of such suspension or revocation shall be deemed an additional violation of this section.
 - (f) (1) If the Commissioner of Revenue Services finds, after a hearing

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pursuant to subsection (c) of this section, that (A) any business entity issued a dealer registration under section 21a-415, as amended by this act, has sold, given or delivered any flavored electronic nicotine delivery system or vapor product, or (B) any such business entity does not possess documentation of nicotine content or nicotine content that indicates a level of nicotine that is greater than thirty-five milligrams per milliliter for any electronic nicotine delivery system or vapor product sold, given or delivered within the retail establishment of the business entity, the commissioner shall, for the first violation, require the authorized owner of such business entity to successfully complete an online prevention education program administered by the Department of Mental Health and Addiction Services not later than thirty days after said commissioner's finding. The Commissioner of Revenue Services shall assess any business entity issued a dealer registration, whose authorized owner fails to complete such program, a civil penalty of six

(2) The Commissioner of Revenue Services shall assess such business entity a civil penalty of one thousand five hundred dollars for a second violation on or before twenty-four months after the date of the first violation.

hundred dollars for the first violation.

- 227 (3) For a third violation by such business entity on or before twenty-228 four months after the date of the first violation, the Commissioner of 229 Revenue Services shall assess such business entity a civil penalty of two 230 thousand dollars and notify the Commissioner of Consumer Protection 231 that the dealer registration held by such business entity under this 232 chapter shall be suspended for not less than thirty days.
 - (4) For a fourth violation on or before twenty-four months after the date of the first violation, the Commissioner of Revenue Services shall assess such business entity a civil penalty of two thousand dollars and notify the Commissioner of Consumer Protection that the dealer registration held by such business entity under this chapter shall be revoked. The Commissioner of Revenue Services shall order such business entity to conspicuously post a notice in a public place stating

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- 240 <u>that electronic nicotine delivery systems and vapor products cannot be</u>
- 241 sold during the period of suspension or revocation and the reasons for
- 242 <u>such suspension or revocation. Any sale of an electronic nicotine</u>
- 243 <u>delivery system or vapor product by such business entity during the</u>
- 244 period of such suspension or revocation shall be deemed an additional
- 245 <u>violation of this section.</u>

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- 246 [(f)] (g) Upon receipt of notice of determination from the 247 Commissioner of Revenue Services made under subsection (e) or (f) of 248 this section, the Commissioner of Consumer Protection shall suspend or 249 revoke the dealer registration of the business entity that is the subject of 250 said determination. The Commissioner of Consumer Protection shall 251 not be required to hold a hearing in connection with any notice of 252 determination received from the Commissioner of Revenue Services 253 under this section.
 - [(g)] (h) The Commissioner of Consumer Protection shall not issue a new dealer registration to a former registrant whose dealer registration was revoked unless the commissioner is satisfied that such business entity that holds a dealer registration will comply with the provisions of this chapter and any regulations related thereto, and section 53-344b, as amended by this act.
- Sec. 4. Section 12-295a of the general statutes is repealed and the following is substituted in lieu thereof (*Effective January 1, 2023*):
 - (a) If the Commissioner of Revenue Services finds, after a hearing, that any person employed by a dealer or distributor, as defined in section 12-285, has sold, given or delivered cigarettes or tobacco products to a person under twenty-one years of age other than a person under twenty-one years of age who is delivering or accepting delivery in such person's capacity as an employee, said commissioner shall, for the first violation, require such person to successfully complete an online tobacco prevention education program administered by the Department of Mental Health and Addiction Services not later than thirty days after said commissioner's finding. [Said commissioner] The

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- 272 <u>Commissioner of Revenue Services</u> shall assess any person who fails to
- 273 complete such program a civil penalty of [two] four hundred dollars.
- 274 Said commissioner shall assess any person employed by a dealer or
- 275 distributor a civil penalty of [two hundred fifty] five hundred dollars
- 276 for a second or subsequent violation on or before twenty-four months
- after the date of the first violation.

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- 278 (b) (1) If the Commissioner of Revenue Services finds, after a hearing, 279 that any dealer or distributor has sold, given or delivered cigarettes or a 280 tobacco product to a person under twenty-one years of age other than a person under twenty-one years of age who is delivering or accepting 281 282 delivery in such person's capacity as an employee, or such dealer or 283 distributor's employee has sold, given or delivered cigarettes or a 284 tobacco product to such person, said commissioner shall require such 285 dealer or distributor, for the first violation, to successfully complete an 286 online tobacco prevention education program administered by the 287 Department of Mental Health and Addiction Services not later than 288 thirty days after said commissioner's finding. [Said commissioner] The Commissioner of Revenue Services shall assess any dealer or distributor 289 290 who fails to complete such program a civil penalty of [three] six 291 hundred dollars. [Said commissioner]
 - (2) The Commissioner of Revenue Services shall assess [any] such dealer or distributor a civil penalty of [seven hundred fifty] one thousand five hundred dollars for a second violation on or before twenty-four months after the date of the first violation.
 - (3) For a third violation on or before twenty-four months after the date of the first violation, [said commissioner] the Commissioner of Revenue Services shall assess such dealer or distributor a civil penalty of [one] two thousand dollars and suspend any license held by such dealer or distributor under this chapter for not less than thirty days.
 - (4) For a fourth violation on or before twenty-four months after the date of the first violation, [said commissioner] the Commissioner of Revenue Services shall assess such dealer or distributor a civil penalty

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of [one] two thousand dollars and revoke any license issued to such dealer or distributor under this chapter. Said commissioner shall order such distributor or dealer to conspicuously post a notice in a public place within such distributor's or dealer's establishment stating that cigarettes and tobacco products cannot be sold during the period of such suspension or revocation and the reasons for such suspension or revocation. Any sale of cigarettes or a tobacco product by such dealer or distributor during such suspension or revocation shall be deemed an additional violation of this subsection.

- (c) (1) If the Commissioner of Revenue Services finds, after a hearing, that any owner of an establishment in which a cigarette vending machine or restricted cigarette vending machine is located has sold, given or delivered cigarettes or tobacco products from any such machine to a person under twenty-one years of age other than a person under twenty-one years of age who is delivering or accepting delivery in such person's capacity as an employee, or has allowed cigarettes or tobacco products to be sold, given or delivered to such person from any such machine, said commissioner shall require such owner, for the first violation, to successfully complete an online tobacco prevention education program administered by the Department of Mental Health and Addiction Services not later than thirty days after said commissioner's finding. [Said commissioner] The Commissioner of Revenue Services shall assess any owner who fails to complete such program a civil penalty of [five hundred] one thousand dollars. [Said commissioner]
- (2) The Commissioner of Revenue Services shall assess [any] such owner a civil penalty of [seven hundred fifty] one thousand five hundred dollars for a second violation on or before twenty-four months after the date of the first violation.
- (3) For a third violation on or before twenty-four months after the date of the first violation, [said commissioner] the Commissioner of Revenue Services shall assess such owner a civil penalty of [one] two thousand dollars and immediately remove any such machine from such

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establishment and no such machine may be placed in such establishment for a period of one year following such removal.

- (d) Any person aggrieved by any action of the [commissioner] Commissioner of Revenue Services pursuant to this section may take any appeal of such action as provided in sections 12-311 and 12-312.
- Sec. 5. Subsection (b) of section 53-344 of the general statutes is repealed and the following is substituted in lieu thereof (*Effective January* 1, 2023):
 - (b) Any person who sells, gives or delivers to any person under twenty-one years of age cigarettes or a tobacco product shall be fined not more than [three] six hundred dollars for the first offense, not more than [seven hundred fifty] one thousand five hundred dollars for a second offense on or before twenty-four months after the date of the first offense and not more than [one] two thousand dollars for each subsequent offense on or before twenty-four months after the date of the first offense. The provisions of this subsection shall not apply to a person under twenty-one years of age who is delivering or accepting delivery of cigarettes or a tobacco product (1) in such person's capacity as an employee, or (2) as part of a scientific study being conducted by an organization for the purpose of medical research to further efforts in cigarette and tobacco product use prevention and cessation, provided such medical research has been approved by the organization's institutional review board, as defined in section 21a-408.
- Sec. 6. Subsection (b) of section 53-344b of the general statutes is repealed and the following is substituted in lieu thereof (*Effective January* 1, 2023):
 - (b) Any person who sells, gives or delivers to any person under twenty-one years of age an electronic nicotine delivery system or vapor product in any form shall be fined not more than [three] <u>six</u> hundred dollars for the first offense, not more than [seven hundred fifty] <u>one thousand five hundred</u> dollars for a second offense on or before twenty-four months after the date of the first offense and not more than [one]

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two thousand dollars for each subsequent offense on or before twenty-four months after the date of the first offense. The provisions of this subsection shall not apply to a person under twenty-one years of age who is delivering or accepting delivery of an electronic nicotine delivery system or vapor product (1) in such person's capacity as an employee, or (2) as part of a scientific study being conducted by an organization for the purpose of medical research to further efforts in tobacco use prevention and cessation, provided such medical research has been approved by the organization's institutional review board, as defined in section 21a-408.

This act shall take effect as follows and shall amend the following sections:		
Sections.		
Section 1	January 1, 2023	21a-415(a)
Sec. 2	January 1, 2023	New section
Sec. 3	January 1, 2023	21a-415b
Sec. 4	January 1, 2023	12-295a
Sec. 5	January 1, 2023	53-344(b)
Sec. 6	January 1, 2023	53-344b(b)

Statement of Purpose:

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To prohibit the sale of electronic nicotine delivery systems and vapor products that are flavored or that have a nicotine content that is greater than thirty-five milligrams per milliliter.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]

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